

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending August 31, 2013

(Insert date)

**I - REGISTRANT****1. (a) Name of Registrant**

Hecht, Spencer &amp; Associates, Inc.

**(b) Registration No.**

5451

**(c) Business Address(es) of Registrant**499 South Capitol Street, S.W., Suite #507  
Washington, D.C. 20003**2. Has there been a change in the information previously furnished in connection with the following?****(a) If an individual:**

- |                           |                              |                             |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship           | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation            | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

**(b) If an organization:**

- |                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

**(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.****IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).****3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.**Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

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4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

N/A

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(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

N/A

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒  
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes ☐ No ☒  
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Government of Japan

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes ☐ No ☐Exhibit B<sup>4</sup> Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☒ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☒ No ☐

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Government of Japan

The registrant rendered government relations, political consulting and general diplomatic representation services to the Government of Japan in connection with congressional and U.S. Government positions, actions and legislation regarding Japan, the bilateral U.S. - Japan relationship, the San Francisco Peace Treaty with Japan of 1951, World War II-related issues, The Hague Convention on the Civil Aspects of International Child Abduction, and claims against Japan and/or Japanese nationals, including corporations. Services by the registrant were coordinated, on behalf of the Government of Japan, by Hogan Lovells US LLP (FARA Registration Number 2244), a law firm representing the Government of Japan. Activities included communications with Members of Congress and their staff.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

The Government of Japan

The registrant's activities included communications with members of the U.S. House of Representatives, and their staff, on behalf of the Government of Japan relating to general diplomatic representation, the U.S. - Japan relationship, the San Francisco Peace Treaty with Japan of 1951, World War II-related questions, including those relating to "comfort women", The Hague Convention on the Civil Aspects of International Child Abduction, and related legislation in the 113th Congress, including H.R. 1951 - The Sean and David Goldman International Child Abduction Prevention and Return Act of 2013; S. RES. 167 - A resolution reaffirming the strong support of the United States for the peaceful resolution of territorial, sovereignty, and jurisdictional disputes in the Asia-Pacific maritime domains; and H.R. 2855 - Making appropriations for the Department of State, foreign operations and related programs for the fiscal year ending September 30, 2014.

SEE ATTACHMENT

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☒ No ☐

If yes, describe fully.

Registrant, a professional lobbying firm, also represents several U.S. domestic clients before the Congress and U.S. Government. In this general representation of American clients, the fact that the registrant also represents the Government of Japan could reflect favorably upon the foreign principal.

<sup>5</sup> The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom	Purpose	Amount
03/04/2013	Government of Japan	February, 2013 contractual fee	\$15,000.00
03/13/2013	Government of Japan	March, 2013 contractual fee	\$15,000.00
05/01/2013	Government of Japan	April, 2013 contractual fee	\$15,000.00
06/03/2013	Government of Japan	May, 2013 contractual fee	\$15,000.00
07/01/2013	Government of Japan	June, 2013 contractual fee	\$15,000.00
08/01/2013	Government of Japan	July, 2013 contractual fee	\$15,000.00
08/29/2013	Government of Japan	August, 2013 contractual fee	\$15,000.00

\$105,000.00

Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
04/24/2013	American Express	Supplemental Filing Fee	\$305.00
04/02/2013	Lewis Baach	Postage	\$1.10

\$306.10

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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
03/12/2013	\$500.00	TAC PAC	Washington, DC
03/12/2013	\$500.00	Friends of Carolyn McCarthy	Washington, DC
03/13/2013	\$250.00	Levin for Congress	Washington, DC
03/14/2013	\$250.00	Higgins for Congress	Washington, DC
03/19/2013	\$500.00	Pascrell for Congress	Washington, DC
04/10/2013	\$500.00	Kingston for Congress	Washington, DC
04/25/2013	\$500.00	Butterfield for Congress	Washington, DC
05/01/2013	\$1,000.00	Nestande for Congress	Palm Desert, CA
05/15/2013	\$500.00	Meehan for Congress	Washington, DC
05/16/2013	\$500.00	Issa for Congress	Washington, DC
06/17/2013	\$500.00	Hastings for Congress	Washington, DC
06/20/2013	\$500.00	Van Hollen for Congress	Washington, DC
06/26/2013	\$250.00	Nestande for Congress	Washington, DC
07/30/2013	\$250.00	Republican Majority Fund	Washington, DC

Individual contributors included  
William H. Hecht, Timothy P. Hecht  
Spencer.

the following Short-Form Registrants:  
Franklin C. Phifer, Jr. and Stuart K.

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>  
 Yes ☒ No ☐

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?  
 Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Government of Japan

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams  
☐ Advertising campaigns ☒ Press releases ☐ Pamphlets or other publications ☒ Lectures or speeches  
☒ Other (specify) Congressional Research Service (CRS) Memorandum; position papers and meeting points.

**Electronic Communications**

- ☒ Email  
☐ Website URL(s): \_\_\_\_\_  
☐ Social media websites URL(s): \_\_\_\_\_  
☐ Other (specify) N/A

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries  
☒ Legislators ☐ Editors ☐ Educational institutions  
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups  
☒ Other (specify) Congressional staff

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)

September 27, 2013

/s/ Timothy P. Hecht

eSigned

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

**ADDENDUM:**

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?

YES X NO

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

**The Government of Japan**

The registrant's activities included communications with members of the U.S. House of Representatives, and their staff, on behalf of the Government of Japan relating to general diplomatic representation, the U.S. - Japan relationship, the San Francisco Peace Treaty with Japan of 1951, World War II-related questions, including those relating to "comfort women", The Hague Convention on the Civil Aspects of International Child Abduction, and related legislation in the 113th Congress, including H.R. 1951 - The Sean and David Goldman International Child Abduction Prevention and Return Act of 2013; S. RES. 167 - A resolution reaffirming the strong support of the United States for the peaceful resolution of territorial, sovereignty, and jurisdictional disputes in the Asia-Pacific maritime domains; and H.R. 2855 - Making appropriations for the Department of State, foreign operations and related programs for the fiscal year ending September 30, 2014.

The following chart reflects contacts undertaken with respect to the above issues and policies:

DATE OF CONTACT	BILL HECHT	TIM HECHT	FRANK PHIFER	PERSON CONTACTED	TITLE	EMPLOYER	PHONE/ E-MAIL	MEETING	DISTRIBUTE MATERIAL
03/08/2013			X	Kevin Fitzpatrick	Staff Director	Subcommittee on Asia and the Pacific, HFAC, U.S. House of Representatives		Meeting	X
03/12/2013		X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	Phone Call		
03/14/2013		X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	EM		
04/12/2013		X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	PC		

04/15/2013	X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	EM	DM
04/15/2013	X		John Boehner	Speaker of the House	U.S. House of Representatives	Meeting	
04/16/2013	X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	EM	DM
04/16/2013	X		John Boehner	Speaker of the House	U.S. House of Representatives	Meeting	
05/06/2013		X	Kevin Fitzpatrick	Staff Director	House Foreign Affairs Subcommittee on Asia and the Pacific	PC	
05/06/2013		X	Charles Boustany	Congressman	U.S. House of Representatives	Meeting	
05/16/2013	X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	EM	DM
07/10/2013		X	Kevin Fitzpatrick	Staff Director	House Foreign Affairs Subcommittee on Asia and the Pacific	PC	
07/16/2013		X	Kevin Fitzpatrick	Staff Director	House Foreign Affairs Subcommittee on Asia and the Pacific	EM	DM
08/12/2013	X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	PC	
08/13/2013	X		Mike Sommers	Chief of Staff	Office of the Speaker of the House	EM	DM